

PLEDGE/HYPOTHECATION FORM

Bajaj Financial Securities Limited
 Unit 2, Tower B, Second Floor, Mantri IT Park, Opposite Inorbit Mall,
 Nagar Road, viman Nagar, Pune, MH 411014

CDSL DP ID:

NSDL DP ID:

Serial No.:		Demat A/c No.:		Date: DD-MM-YYYY			
Please tick in any of the boxes as may be applicable							
Create Pledge/Hypothecation: <input type="checkbox"/>				Confirm creation of Pledge/Hypothecation: <input type="checkbox"/>			
Close Pledge/Hypothecation: <input type="checkbox"/>				Confirm closure of Pledge/Hypothecation: <input type="checkbox"/>			
Invoke Pledge/Hypothecation: <input type="checkbox"/>				Confirm invocation of Hypothecation: <input type="checkbox"/>			
Date: DD-MM-YYYY		Instruction Type (Pledge/Hypothecation):					
Client ID:			Counterparty Pledgor/Pledgee Client ID:				
Client Name:				Counterparty Pledgor/Pledgee Name:			
Agreement No. (as provided by Pledgee):				Counterparty Pledgor/Pledgee DP ID:			
Closure Date: DD-MM-YYYY				Counterparty Pledgor/Pledgee DP Name:			
S. No.	ISIN	Security Name	Reasons and Re release date for Locked-in Securities (if any)	Quantity (Refer Instruction No. 2)	Quantity in words (Refer Instruction No. 2)	Pledge order No. (Refer Instruction No. 3)	Reasons for rejections (if any) (to be filed at the time of confirmation)
Signature of First Holder			Signature of Second Holder			Signature of Third Holder	

Instructions:

1. To be submitted in duplicate for acknowledgement.
2. Pledged Quantity, Closure Quantity or the Invoked Quantity to be mentioned as the case may be.
3. Pledge Order No. to be filled in by the client (except at the time of creation of the pledge to be filled in by the Participant).
4. Locked-in securities cannot be invoked before the lock-in release date.
5. Strike out whichever is not applicable.